# the levels of upward basketball 

Upward Basketball suggests a four-level age appropriate format that progresses with each player. Each level of Upward Basketball is tailored to meet players where they are in their age development and ability, allowing them to reach their full potential.

|  | LEVEL_ 1 <br> Introducing the game and basic skills | LEVEL_2 <br> Develop basic skills while learning game rules | LEVEL 3 <br> Refine skills and learn team concepts while preparing for the next level of competition | LEVEL 4 <br> Implement middle school rules while competing at a high level |
| :---: | :---: | :---: | :---: | :---: |
| Recommended for grades: | K5 | 1st-2nd Grade | 3rd-4th Grade | 5th Grade and Up |
| GRADE OF YOUR PLAYERS |  |  |  |  |

## Basic Rules for LEVELS 1-3

## Note: These rules do NOT apply to Level 4.

Except where the following rules apply, play is governed by the National Federation of State High School Associations' (NFHS) Rules Book www.nfhs.org

## Game Format

» Referees lead both teams in prayer at center court before every game. This sets the tone and focus for the game.
» A coin flip or other impartial method determines which team receives possession first. In jump ball situations, possessions will alternate. This promotes fairness for both teams while adhering to the NFHS rule for jump ball situations.
» Clock format: Running clock throughout the game, stopping only for pre-determined substitutions at the end of each segment and halftime.
» At the end of each segment, the team that receives the ball next is based upon the direction of the possession arrow. This avoids the offensive team that may have possession in the next segment from stalling at the end of a period.
» All coaches will adhere to the Upward substitution system. The substitution system allows for equal playing time for all players.

There are no timeouts. This allows more playing time and keeps the games on time.
Teams switch goals at halftime. This rule is in compliance with NFHS Rules. It also evens any advantage that may occur because of the court.
» Standings add unneeded pressure to the game and therefore will not be maintained." Any game ending in a tie should remain in a tie. No overtimes are played so games stay on schedule.

## Rules for Play

## Defense

» Man-to-man defense will be played at all times in Levels 1-3. In a man-to-man defense, players are only guarding the opponent that closely matches their ability and height. This allows for a more competitive system for every player on the court while creating an equal opportunity for each player.
» Defensive players must stay within approximate arm's reach of the player they are guarding. This prevents defenders from sagging or crowding an area of the court to gain a defensive advantage.
> After a warning to the player, the player will be assessed a personal foul for subsequent violations.
» Double-teaming is not allowed. However, help defense is strongly encouraged in the following instances:
> > Lane Area: If a defender is in the lane and the player he or she is guarding is within arm's reach, the defender is allowed to provide help defense. Since many goals are scored in the lane, it provides the defense more opportunity to properly defend this area.
> > Picks and Screens: Defensive switching is allowed on offensive picks or screens. The non-screened defender can help his or her teammate by temporarily switching until the teammate recovers to defend their offensive player. This is commonly referred to as "help and recover." This prevents the offense from continually setting screens to gain an advantage.
, Fast Breaks: When a transition begins in the backcourt and the offense quickly moves the ball up court, another defensive player may help. Upon stopping the fast break, defenders should return to guarding their assigned players. This rule is meant to prevent the defenders from helplessly watching the offensive player score without being able to help.
At the beginning of each segment, players will line up at half court and "match up" with whom they are guarding. This act is performed to promote equal player match-ups. Equal match-ups combined with man-to-man defense create five competitive pairings on the court.

Full court presses are not allowed. Defensive players may not guard their opponents in the backcourt. This will allow for more play to occur in the front court and create an equal opportunity for each player to participate both on offense and defense.

When playing on a short court, backcourt violations will not be called. If playing on a regulation-size court (84 feet) as opposed to cross-court, backcourt violations should be called. 10 seconds should be called on short courts or regulation size courts.
» A player committing two fouls in one segment must sit out the remainder of that segment. The next player in the rotation comes in as the substitute and is rewarded some extra game time. This does not change the normal rotation as the fouled-out player does not re-enter the game until they are next scheduled to do so. This rule allows the offending player time to regroup during the remainder of the segment while realizing that there is a consequence for the violation.

## Offense

» The offense must purposefully attack the defense in every situation - no stalling or throwing the ball into the backcourt to "reset" a play or "retreat" from the defense. After a warning from the referee, a violation will be called resulting in a turnover. This will prevent a team with the lead from running the clock out by staying in the backcourt where the opponent is unable to play defense.
» Isolation plays will not be a part of Levels 1-3 because they take away the opportunity for improvement for all players and contradict the spirit of the rules. For offense this prevents players being positioned at half-court to isolate defenders away from the play.
» Non-shooting fouls will result in the ball being in-bounded from either the baseline or sideline. All shooting fouls will result in two free throws. By not administering "bonus" situation free throws, all players on the court can contribute to consistent game action. No score will be given for a basket in the wrong goal. It will be treated as a turnover and will be taken out along the baseline. This will prevent further embarrassing a player for making this mistake.

## LEVEL 1 (K5) MODIFICATIONS

| RULE | EXPLANATION / COMMENTS |
| :---: | :---: |
| Goal Height | 7 feet |
| Ball Size | 27.5" |
| Games consist of six, 6-minute segments and an 8 minute halftime. One minute is allotted for substitutions between segments. | This keeps games to approximately an hour time frame |
| Score is not kept | The focus of this age is to learn fundamentals, not winning and losing. |
| 3-second violation is not called | Referees should advise players of this potential violation but not penalize. |
| Coaches are allowed on the floor with players | The focus is for coaches to instruct and encourage players at all times. |
| Stealing the ball from the dribbler is not allowed | To encourage the offensive player to dribble with correct form without the pressure of having the ball stolen. |
| Stealing the ball off a pass is not allowed | To encourage the offensive player to pass with correct form without the pressure of having the ball stolen. A block should not be considered a steal. |
| Violation is not called for having two hands on the ball while dribbling | Due to limited hand-eye coordination, this allows a player to establish correct dribbling form through rhythm and pressure on the ball. |
| Violation for double dribbling is called but does not result in a turnover | Players should learn the concept of double dribble but should be allowed the opportunity to correct it without penalty. |
| Violation for traveling is called but does not result in a turnover | Players should learn the concept of traveling but should be allowed the opportunity to correct it without penalty. |
| Violation for traveling is not called when a player shuffles feet when trying to set up for a shot | Because this age group is single skill focused, players will tend to shuffle their feet when focusing on setting up for a shot. This is corrected as the player develops in skill as he gets older. |
| Fast Breaks are allowed in any transition situation | Players at this age will learn proper transition offense. |
| Free throws are awarded on all shooting fouls | Two shots are attempted by the fouled player at the 10 ' line. Offense may have up to 2 players on the lane, not including the shooter. Defense may have up to 3 players on the lane. After the last free throw attempt, the ball becomes live. |

## LEVEL 2 (IST-2ND GRADE) MODIFICATIONS

| RULE | EXPLANATION / COMMENTS |
| :---: | :---: |
| Goal Height | 8 feet |
| Ball Size | 27.5" |
| Games consist of six, 6-minute segments and an 8 minute halftime. One minute is allotted for substitutions between segments. | This keeps games to approximately an hour time frame. |
| Score is Optional | Focus on teaching young athletes the proper skill development traits needed to handle winning and losing after a game. This will translate into life lessons. |
| 3-second violation is not called | Young athletes at this age should focus on offensive basics (dribbling, passing, shooting, and rebounding). |
| One Coach per team is allowed to walk the sidelines to encourage and instruct players | Players will be able to focus their attention on the coach standing. Walking the sidelines allows the coach to instruct and encourage players at all times. |
| Free throws are awarded on all shooting fouls | Two shots are attempted by the fouled player at the 10 ' line. Offense may have up to 2 players on the lane, not including the shooter. Defense may have up to 3 players on the lane. After the last free throw attempt, the ball becomes live. |
| Stealing the ball off a dribble or pass is allowed | Players at this age should be taught and held to these basic basketball rules. |
| Double dribble violation is called in all circumstances |  |
| Traveling violation is called in all circumstances |  |
| Fast breaks are allowed in any transition situation |  |

## LEVEL 3 (3RD-4TH GRADE) MODIFICATIONS

| RULE | EXPLANATION / COMMENTS |
| :--- | :--- |
| Goal Height | 9 feet |
| Ball Size | 28.5 " |
| Games consist of six, 6-minute segments and <br> an 8-minute halftime. One minute is allotted for <br> substitutions between segments. | This keeps games to approximately an hour <br> time frame. |
| Score IS kept | Young athletes at this age should learn how to <br> handle winning and losing after competition. <br> This translates into life lessons. |
| 3-second violation is called and results in a turnover | At this age, young athletes should understand <br> the concept of offensive movement by not <br> allowing an offensive player to stay in the lane <br> more than 3 seconds |
| One coach per team is allowed to stand in their coach <br> box area to encourage and instruct players. | Players will be able to focus their attention on <br> the coach standing. Walking the sidelines allows <br> the coach to instruct and encourage players at <br> all times. |
| Clock runs continuously with special rules in effect <br> with fewer than two minutes remaining in the game: <br> " Non-shooting fouls result in the offensive team <br> getting one point and the ball. | " Shooting fouls result in the offensive team <br> scoring two points. The opposing team then takes <br> possession. <br> " Players fouled in the act of shooting and making <br> the basket are credited with the basket plus one <br> point. The opposing team then takes possession. |
| Free throws are awarded on all shooting fouls. | Because the clock does not stop, this rule keeps <br> the game moving without wasting the running <br> game clock on lining up for free throw attempts |
| Traveling violation is called in all circumstances | Two shots are attempted by the fouled player <br> St the 12' line. Offense may have up to 2 players <br> on the lane, not including the shooter. Defense <br> may have up to 3 players on the lane. After the |
| last free throw attempt, the ball becomes live. |  |

## LEVEL 4 (5TH GRADE AND UP) RULES

## *The following rules are exclusive to Level 4. They follow a more traditional middle school format and game play. Except where the following rules apply, play is governed by the National Federation of State High School Associations' (NFHS) Rules Book www.nfhs.org

| RULE | EXPLANATION / COMMENTS |
| :---: | :---: |
| Referees lead both team in prayer at center court before every game | This sets the tone and focus for the game |
| Goal Height | 10 feet |
| Ball Size | 28.5" (girls), 29.5' (boys) |
| Games consist of 4, six minute quarters | Follows middle school rules and allows game to begin and end within an hour timeframe |
| The clock stops at each whistle | Stoppage of play includes violations, fouls, out-of-bounds play, timeouts, and injury |
| Overtimes are 2 minutes in length (regulation clock) | Gives both teams the opportunity to win the game with reasonably extended time. If the game is still tied at the end of the first overtime period, you have two options: <br> » The game ends in a tie <br> » First team to score a basket wins the game (no extra time needed) |
| Teams are allowed one 30-second timeout per half (1 per team per half) | This allows a coach to meet with players and adjust strategy during a game |
| All games are played on a full-sized regulation court (no cross court) | This is appropriate floor size for players at this level |
| A jump ball is used to start the game and overtime period | Athletes at this age have the physical ability to jump for the ball to obtain possession. It also follows the NFHS rules for starting a game or overtime |
| Zone and man defenses are allowed (both may be used throughout the season) | Players at this level possess the ability to defend and attack both zone and man principles. Zone and man defenses are a part of the game of basketball and should be understood by players as they prepare for the next level. |
| Press defense is allowed in the backcourt at all times except if a team has a 15 -point advantage | To promote fairness and integrity of the game, teams with a 15-point advantage should drop into a basic half-court defense. |

## LEVEL 4 (5TH GRADE AND UP) RULES - CONT.

## RULE

## EXPLANATION / COMMENTS

All players are allowed five fouls each game. After the 5th foul is committed, the player is out for the remainder of the game

After the seventh team foul in each half, bonus free throws are awarded to the fouled team (1 and 1)

After the tenth team foul in each half, double bonus free throws are awarded to the fouled team (2 shots)

Three point shots are allowed only if the court features a three-point arc.

Playing time requirements for each player in Level 4 are:
Each athlete plays a minimum of $1 / 4$ of each game
» Each athlete must play in both halves of each game

End of season tournaments are encouraged for Level 4

This rule follows traditional middle school rules format

Courts without a 3-point line should not award 3 points no matter the shot distance

Level 4 does not use the substitution system found in Levels $1-3$. This allows flexibility in managing playing time for each coach based on the game situation while promoting fair game participation for all players

End of season tournament play adds excitement to your league. (see Resources on MyUpward.org for tournament templates)

